



PATENT  
Docket No. 529742000100

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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 6, 2004.

*Katrin Kauffmann*  
Katrin Kauffmann

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Morris STEFFIN

Serial No.: 10/692,834

Filing Date: October 23, 2003

For: METHOD AND APPARATUS FOR  
DETECTION OF DROWSINESS AND  
QUANTITATIVE CONTROL OF  
BIOLOGICAL PROCESSES

Examiner: not yet assigned

Group Art Unit: 2621

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

The documents listed on the attached Form PTO-1449 were cited in an International Search Report mailed on March 5, 2004 (copy attached) directed to a counterpart international or foreign application. A certification under 37 C.F.R. § 1.97(e)(1) follows:

I hereby certify that each item of information was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Accordingly, no fee is required.

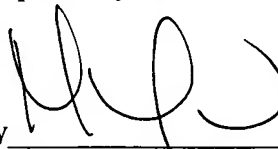
Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 529742000100. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 6, 2004

Respectfully submitted,



By \_\_\_\_\_  
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Form PTO/SB/08-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 529742000100

Application Number 10/692,834

Applicant

Morris STEFFIN

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Group Art Unit 2621

Mailing Date April 6, 2004

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	05/18/1999	5,905,807	Kado et al.			
	2.	01/12/1999	5,859,921	Suzuki			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	3.	02/13/1997	WO 97/05566	PCT (PCT/US96/11996)			

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	0.	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.